COPY OF PAPERS ORIGINALLY FILED

6P2/33

7

1 Rease type a plus sig	on (+) inside this boy	→ +		Approve	PTode of for use through 10/31/2002.	O/SB/21 (08-00)
. 2.7			Ü.	S. Patent and Tradema	k Office: U.S. DEPARTMENT	OF COMMERCE
V E	Reduction Act of 1995, no	persons are re	~		mation unless it displays a valid O	MB control number.
() TOAL			ation Number	09/827,451		
1.00%	ISMITTAL	•	Filing	Date	April 6, 2001	
	FORM		First N	amed Inventor	Robert F. Terry	
(to be used for all cor	rrespondence after in	nitial filing)	Group	Art Unit	2133	
			Examir	ner Name	not yet known	
Total Number of Pages	s in This Submission	6 **	Attorne	y Docket Number	10007.000110	
		ENCLO	OSURES	(check all that apply)		
Fee Transmittal F	form		ment Pap Application)		After Allowance Comr Group	nunication to
Fee Attached		Drawin	g(s)		Appeal Communication Appeals and Interfere	
Amendment / Res	sponse	Licensi	ng-related	l Papers	Appeal Communication (Appeal Notice, Brief, Re	
After Final		Petition	1		Transmittal Form	
			n to Conve onal Appli		Information Disclosure	Statement
				y, Revocation spondence Address	Other Enclosure(s) (please identify below):	
l		Terminal Disclaimer		PTO/SB/08;		
Express Abandon	ment Request	Request for Refund			Copies of listed r	
		CD, Number of CD(s)		Return receipt po	stcard	
Certified Copy of Document(s)	Priority	Remai	rks		RECE RECE	
Response to Miss	•	** does no	ot include	pages for listed refe		
Response to					FEB 0	
Parts under 3 1.52 or 1.53	37 CFR				Technology C	enter 2100
	SIGNA	TURE OF A	APPLICA	NT, ATTORNEY, O	R AGENT	
Firm or Individual name	Arnold M. deGuzm DEGUZMAN OKA	. •	•	, LLP		
Signature	Mi	MN	-			-
Date	Date Vanuary 15, 2007					
				TE OF MAILING		
I hereby certify that th	nis correspondence is	s being depos	sited with	the United States Post	al Service as first class mail	in an envelope
addressed to: Assista	ant Commissioner fo	r Patents, Wa	ashington,	D.C. 20231 on this da	te: January 15,	२००१
Typed or printed nam	e Arnold M. de0	Guzman	-			
Signature Date Jan. 15, 200 Z						2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Robert F. Terry

Serial No. 09/827,451

Filed:

April 6, 2001

Title: System and Method for Real Time Monitoring and Control of a Computer Machine Environment and Configuration

Profile

Examiner: not yet known

Art Unit: 2133

Atty. Dkt. No.: 10007.000110

RECEIVED

FEB 0 7 2002

Technology Center 2100

Commissioner of Patents Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Under 37 C.F.R. §1.56 and §§1.97-98

Sir:

Pursuant to the provisions of 37 CFR 1.56 and §§1.97-98, enclosed herewith is form PTO/SB/08 (substitute for form 1449A/PTO) listing references which may be material to the examination of this application, and with respect to which there may be a duty to disclose. The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

A copy of each listed reference is enclosed herewith, unless no such copy is required pursuant to 37 CFR § 1.98(d).

This application relies, under 35 U.S.C. § 120, on the earlier filing date of particles application relies.	rior
application Serial No. [SERIAL NUMBER], filed on [FILING DATE], and	the

references cited therein are hereby listed but are not required to be provided in this application under 37 CFR § 1.98(d).

Pursuant to 37 CFR §1.97, this Information Disclosure Statement is being submitted under the section indicated below.

\boxtimes	37 CFR §1.97(b).
	Applicant certifies pursuant to 37 CFR § 1.97(e) that either: each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56 (c) more than three months prior to the filing of this Statement.
	OR Applicant submits the petition fee of \$180 as set forth in 37 CFR § 1.17(p).
	Applicant certifies pursuant to 37 CFR § 1.97(e) that either: ach item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more that three months prior to the filing of this Statement; OR no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement.
	AND Applicant submits the petition fee of \$180 as set forth in 37 CFR § .17(p).

Consideration of the listed references and favorable action are respectfully solicited.

Respectfully submitted,

Robert F. Terry

Dated: January 15, 2002

By: Arnold M. deGuzman

Attorney For Applicant(s)

Reg. No. 39,955

DEGUZMAN OKAMOTO & BENEDICTO LLP

P.O. Box 51900

Palo Alto, CA. 94303 Tel.: (650) 691-2030

Fax: (650) 691-2032

	CERTIFICATE OF MA	ILING	
deposited with the Un: Commissioner for Pater Number is filled in be	this correspondence, including the encl ted States Postal Service as first clas tts, Washington, D.C. 20231 on the date Plow, then this correspondence is being Post Office to Addressee" service pursu	s mail in an envelope a shown below. If the Exp deposited with the Unit	ddressed to: ress Mail Mailing
Signature:	Lan		
Typed or Printed Name:	Arnold M. deGuzman	Dated:	Jan. 15, 2002
Express Mail Mailin (optional):	g Number		

Sheet

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE valid OMB control number.

Office the Paperwork Reduction Act of 1995, he person	is are required to respond to a conection of the ornation of thess it contains a
Substitute for form 1449A/PTO	Complete if Known

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

Complete if Known					
Application Number	09/827,451	-			
Filing Date	April 6, 2001				
First Named Inventor	Robert F. Terry				
Group Art Unit	2133				
Examiner Name	not yet known				
Attorney Docket Number	10007.000110				

	**										
7	U.S. PATENT DOCUMENTS										
Γ	Exactiner Cite Interests ' No.'		U.S. Patent Do	cument	Name of Patentee or Applicant	Date of Publication of	Pages, Columns, Lines, Where Relevant				
		Number Kind	i Code ²	of Cited Document	Cited Document	Passages or Relevant					
	,\$ ⁷ /	ļ	(if ki	nown)		MM-DD-YYYY	Figures Appear				
ľ	<u> </u>										
1		<u> </u>									
l											
ŀ			1								
ŀ											
ļ											
ŀ				-							
ŀ											
ŀ					ļ	<i>!</i>	RECEIVED				
ŀ		 		-	ļ						
ŀ				 	·	<u> </u>	FR 0 7 2000				
ŀ		ļ			<u> </u>		20 0 1 2002				
ŀ						Techn	ology Center 2100				
ŀ				-}			Start Center 2100				
\mathbf{l}							_				
H				+							
ŀ											
H				+	1						
H				+	+						
L		ŀ		1							

				FOREIGI	N PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document Office ³ Number ⁴ Kind Code ⁵ (if known)		Office ³ Number ⁴ Kind Code ⁵ or Applicant of Cited		Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т ₆
	А	wo	PCT/US99/ 24801	A2	Evolutionary Vision Technology, Inc.	04-27-2000	. igaice . ppad.	
	В	wo	PCT/US95/ 04109	A1	Intel Corporation	10-12-1995		
		 	-					
				ļ				
	!		ł	1				

	· · · · · · · · · · · · · · · · · · ·		
Examiner Signature		Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark hee if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE PTO/SB/08A (08-00)

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

Examiner

Complete if Known Application Number 09/827,451 Filing Date April 6, 2001 First Named Inventor Robert F. Terry Group Art Unit 2133 Examiner Name not yet known Attorney Docket Number

E 1		2 of	f		Examiner Name		
(T)		- O	2		Attorney Docket Number	not yet known	
The state of the s					500cket Number	10007.000	
N. C.		ОТН	ER PRIOR AR	T NON	PATENT LITERATURE	000110	
Examiner	۱	Include n	200	NON I	PATENT LITERATURE	DOGUME	
Initials *	Cite No.1	the item (book magnet	or (in CAPI	TAL LETTERS	DOCUMENTS rticle (when appropriate), title o	
	140.	· · · · · · · · · · · · · · · · · · ·	numbo	, journal, se	erial, symposium, cotal	rticle (when appropriate)	
1	С	Remote Cons	sole Sassian uni	r(S), publis	erial, symposium, catalog, et her, city and/or country when sclosure Bulletin, 122	DOCUMENTS rticle (when appropriate), title o c.), date, page(s), volume-issue re published.	f
			ession, IBM	Technical Di	sclosure Bulletin IRM C	e published.	'
	- 1			pag	ges 93-97. Armonk, NY, US.	c.), date, page(s), volume-issue re published. on 1993, Vol. 36 No.08 August 1993	
							3,
1	7						
	_						-
Γ							
	- 1					_	1
			<u>-</u>				
	- 1				R	ECEIVED	_
						POLIVED	
	- 1				F1	B 0 7 2002	
- 1	- 1				Techno	logy Center 2100	
						iogy center 2100	
1	- 1						
1	- 1						
	-						
	1						
	1						- 1
	+						_
	1						
	ı						- 1

Signature			1
	Date		
EXAMINER: Initial if refere	Considered		
and not considered, Include assets whether or not eiter	- 0.19106160	1	
EXAMINER: Initial if reference considered, whether or not citation is in contained and not considered. Include copy of this form with next communication to ap 1 Unique citation designation number. 2 Applicant is to place a check mark he	formance with MDCD a		
Unique citation designation number. ² Applicant is to place a check mark his commentation. This form is part.	pplicant.	609. Draw line through citation is	-
Burden Hour Statement: This to place a check mark by	ero if the man	-gri citation if not in confort	mance
COmmont. 1115 IOIM is and	CIC II EDONOB IA		

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Washington DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.